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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. RA043D2C15)

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In the Application of:

FARMWALD ET AL.

**Serial No:** 09/835,263

Filed: April 13, 2001

Title: MEMORY DEVICE HAVING A

PROGRAMMABLE REGISTER

Assistant Commissioner for Patents Washington, DC 20231

Group Art Unit: 2181 OCT 2 9 2002

Before Examiner: G. Auve, Technology Center 2100

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on

October 23, 2002

Adrienne Mullany (Name of Person Mailing)

Correspondence)

Advienne Millary 10-23-02 Signature Date

INFORMATION DISCLOSURE STATEMENT (37 CFR 1.97(b))

Submitted herewith is a (modified) citation Form PTO-1449, along with a copy of each of the documents listed therein. It is believed that the Examiner may find these documents material to the patentability of one or more of the claims in the instant application. Accordingly, it is respectfully requested that the Examiner make his consideration formally of record with the next Action.

U.S. Patent 4,528,646 (hereinafter, "the '646 patent"), listed on the Form PTO-1449, was cited in a brief dated August 30, 2002 submitted in an opposition proceeding filed against European patent 525,068 (hereinafter, "the EP '068") by Opposer OIII: Hynix Semiconductor (Deutschland) Gmbh. The EP '068 claims priority to the same parent application (Application Serial No. 07/510,898) as the instant application. Attached hereto is a copy of this brief, along with a (non-certified) English translation thereof. Specific reference to the '646

patent may found starting on page 6 (in both the original and the translation).

U.S. Patents 4,975,877 and 4,712,194, also listed on the Form PTO-1449, were cited in an Office Action dated June 6, 2002 pertaining to U.S. Application Serial No. 10/102,237 (which also claims priority to Application Serial No. 07/510,898).

The European Patent Office has issued, a preliminary non-binding opinion regarding, among other things, novelty, inventive step, and impermissible intermediate claim generalization of the EP '068. A copy of this opinion is attached hereto.

Finally, several documents listed on the PTO-1449 were cited in a foreign litigation involving the EP '068 (i.e., Rambus Inc. vs Infineon Technologies AG, before the Regional Court of Mannheim, Germany), as some of the exhibits from the report of Dr. Lothar Thiele2 dated May 6, 2002. A copy of Dr. Thiele's report along with a (non-certified) English translation thereof are attached hereto. A listing of the exhibits may be found on page 59 of the translation and pages 63-64 of the original report).

Respectfully submitted,

Date: 02 23, 2002

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<sup>1</sup> This opinion is included in an annex to a summons to attend oral proceedings in the above mentioned opposition proceeding concerning the EP '068.

<sup>2</sup> Dr. Thiele is a court appointed expert for that litigation.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Atty. Docket No. RA043D2C15)

APPLICANT: Farmwald et al.

FILED: April 13, 2001

SERIAL NO.: 09/835,263

**ART UNIT: 2181** 

TITLE: MEMORY DEVICE HAVING A PROGRAMMABLE REGISTER

## RECEIPT OF THE FOLLOWING PAPERS IS ACKNOWLEDGED

1. Information Disclosure Statement (2 pages)

2. Form PTO-1449 (1 page) + copy of documents cited therein

DATE: October 23, 2002

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Technology Center 2100





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